

# Fields and Field Extensions

**Recall:** For ring theory in general,  
we tried to divide rings  
up into classes by looking  
at what happened with their  
ideals!

Unfortunately, this approach  
is completely hopeless for  
fields.

Theorem: (Simplicity) Every field  $K$  is a simple ring: the only ideals of  $K$  are  $K$  itself and  $\{0_K\}$ .

proof: Let  $I$  be an ideal of  $K$  and suppose  $I \neq \{0_K\}$ . Then  $\exists x \in K, x \neq 0_K$ . Since  $K$  is a field,  $x$  is a unit.

Therefore,  $x$  admits a multiplicative inverse  $x^{-1}$ .

Since  $I$  is an ideal,

$$1_K = x^{-1} \cdot x \in I.$$

Now since  $1_K \in I$ , if

$y \in K$ , then

$$y = y \cdot 1_K \in I.$$

This shows  $\overline{I} = K.$



Observation: this proof shows that if  $R$  is a ring and  $I$  is an ideal of  $R$  containing a unit, then  $I = R$ .

Q: How to study fields? The previous theorem says they cannot be studied internally, so ...

A: Study fields **externally**! Starting with a field  $K$ , look at other fields that contain  $K$ .

Definition: (field extension) Let  $K$

be a field. A field

$L$  is called a **field**

**extension** of  $K$  if

$K \subseteq L$  (up to ring isomorphism)

and  $K$  is a subring of  $L$

containing  $1_L$ .

Example 1:  $(\mathbb{Q}(\sqrt{2}))$  Let  $K = \mathbb{Q}$

and let

$$L = \mathbb{Q}(\sqrt{2}) = \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\}.$$

$\mathbb{Q} \subseteq \mathbb{Q}(\sqrt{2})$  by setting  $b = 0$ .

$$|_L = | \in K = \mathbb{Q}.$$

We just need to show  $L$  is

a field. We know  $L \subseteq \mathbb{R}$

and the operations of  $\mathbb{R}$

restrict to those of  $L$ .

We get that  $L$  is a commutative ring with unit. If

$a + b\sqrt{2} \in L$ , we know that

if  $a \neq 0 \neq b$ , then the multiplicative inverse is  $\frac{1}{a + b\sqrt{2}}$ .

Why is this inverse in  $L$ ?

Rationalize by multiplying by

$$1 = \frac{a - b\sqrt{2}}{a - b\sqrt{2}} \quad \text{to get that}$$

the inverse is in  $L$ .

Therefore,  $L = \mathbb{Q}(\sqrt{2})$  is a field extension of  $\mathbb{Q}$ .



Note:  $\sqrt{2} \notin \mathbb{Q}$ , so  $L \neq \mathbb{Q}$ .

Definition: (vector space) Let  $K$  be

a field. A **vector space**

over  $K$  is a set  $V$

endowed with two binary

operations

**vector addition** " $+$ " :  $V \times V \rightarrow V$

**Scalar multiplication** " $\cdot$ " :  $K \times V \rightarrow V$

Such that

1)  $(V, +)$  is an abelian group

2)  $1_K \cdot x = x \quad \forall x \in V$

$$3) \quad \forall x, y \in V, \quad \alpha, \beta \in K,$$

$$\alpha \cdot (x + y) = \alpha \cdot x + \alpha \cdot y$$

$$(\alpha + \beta) \cdot x = \alpha \cdot x + \beta \cdot x$$

(distributivity of " $\cdot$ " over  
" $+$ " and " $+$ " over " $\cdot$ ")

$$4) \quad \forall \alpha, \beta \in K, \quad x \in V$$

$$\alpha \cdot (\beta \cdot x) = (\alpha \cdot \beta) \cdot x$$

(associativity)

## Observation: (field extensions & vector spaces)

If  $K$  is a field and  $L$  is an extension field of  $K$ , then tracking through the definition,  $L$  is a vector space over  $K$ .

Everything holds since  $L$  is a field, so for example,

3) + 4) already hold for

$\alpha, \beta \in L$ , so in particular,

for  $\alpha, \beta \in K$ .

Definition: (degree) The **degree** of an extension field  $L$  of a field  $K$  is just the dimension of  $L$  as a vector space over  $K$ :

$$\deg(L/K) := \dim_K(L)$$

where the dimension is the cardinality of a basis for  $L$  over  $K$  (scalars are elements of  $K$ ) -

Definition: (algebraic elements, extensions)

Let  $K$  be a field. Then  $\alpha \in K$

is said to be **algebraic** over

$K$  if  $\exists p(x) \in K[x]$

such that  $p(\alpha) = 0_K$

If  $\alpha$  is not algebraic over  $K$ ,

we say  $\alpha$  is **transcendental** over

$K$ .

Finally, an extension field  $L$  of

$K$  is said to be algebraic if

every element of  $L$  is algebraic

over  $K$ .

Example 2: ( $\mathbb{C}$  over  $\mathbb{R}, \mathbb{Q}$ )

$\mathbb{C}$  is algebraic over  $\mathbb{R}$

Since if  $a+bi \in \mathbb{C}$

( $a, b \in \mathbb{R}$ ), then consider

$$p(x) = (x - (a+bi))(x - (a-bi))$$

$$p(x) = x^2 + a^2 + b^2 - 2ax$$

Then  $p(a+bi) = 0$ .

However, not every element of  $\mathbb{R}$  is algebraic over  $\mathbb{Q}$ :  $\pi$  and  $e$  are the most famous examples.

Observation:  $\deg(\mathbb{C}/\mathbb{R}) = 2$

since  $\{1, i\}$  is a basis  
for  $\mathbb{C}$  over  $\mathbb{R}$ .

$\deg(\mathbb{Q}(\sqrt{2})/\mathbb{Q}) = 2$

since  $\{1, \sqrt{2}\}$  is a basis  
for  $\mathbb{Q}(\sqrt{2})$  over  $\mathbb{Q}$ .

But  $\deg(\mathbb{R}/\mathbb{Q})$  is

infinite since  $\mathbb{Q}$  is  
countable, but  $\mathbb{R}$  is not!

Any basis of  $\mathbb{R}$  over  $\mathbb{Q}$   
must be magicked into existence  
by the Axiom of Choice.



Definition: (algebraically closed) A field  $K$  is said to be algebraically closed if every non-constant polynomial in  $K[x]$  has a root in  $K$ .

Immediate consequence: every polynomial in  $K[x]$  for  $K$  algebraically closed factors linearly!

Lemma:

Let  $K$  be a field. Then  $K[x]$  is a principal ideal domain.

proof: We already proved that  $K[x]$  has a division algorithm. So

let  $I$  be an ideal in  $K[x]$

and let  $p(x) \neq 0$  be

an element of minimal degree

in  $I$ . Then if  $f(x) \neq 0$ ,

$f(x) \in I$ , then by the

division algorithm,  $\exists$

$q(x), r(x) \in K[x]$

Such that

$$f(x) = q(x) \cdot p(x) + r(x)$$

with the degree of  $r(x)$

less than the degree of  $p(x)$ .

But  $\mathcal{I}$  is an ideal,

so  $q(x) \cdot p(x) \in \mathcal{I}$ .

Therefore,

$$r(x) = f(x) - q(x) \cdot p(x) \in \mathcal{I}.$$

But  $p(x)$  has minimal

degree in  $\mathcal{I}$ , so  $r(x) = 0$ .

Therefore,  $f(x) = q(x) p(x)$

$\Rightarrow \mathcal{I} = \langle p(x) \rangle$ . □